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**DIRECTOR'S OFFICE
GROUP 260**

GIL HYATT
P.O. BOX 81230
LAS VEGAS, NV 89180-1230
PHONE: (702) 871-9899
FAX: (702) 871-9397

TELECOPIER COVER SHEET

DATE: August 15, 1996

TO: Director Nick Godici 703-305-3991

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 32.

REMARKS:

#13
9-24-96
Shw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GILBERT P. HYATT

Serial No. 08/464,034

(See Appendix I for
29 other applications)

Docket No. 751

Filed: June 5, 1995

For: Improved Image Processing
Architecture

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AUG 15 1996

DIRECTOR'S OFFICE
GROUP 260

REQUEST FOR RECONSIDERATION
OF DECISION REFUSING TO WITHDRAW AN OFFICE
ACTION THAT PREEMPTED A PRELIMINARY AMENDMENT

Hon. Assistant Commissioner
For Patents
Washington, D.C. 20231

Sir:

Favorable consideration of this Request for Reconsideration is desired in view of the comments which follow.

Group Director Godici argues that Applicant misstated the content of the meeting held with Applicant on October 24, 1995. Since the content of that meeting was not recorded or transcribed, it is apparent that there was some misunderstanding as to the interpretation of some of the comments made at that meeting.

Nonetheless, it is believed clear from the discussions which took place at that meeting that several of the applications listed in Appendix I contained claims which, although they had been preliminarily amended, needed to be further amended to present more focused claims. Director Godici acknowledged as much. The meeting of October 24, 1995 focused, in part, on the applications which are the subject of this Request for Reconsideration. The decision made by the Office to act upon these applications without notice to the Applicant is, by any reasonable standard, arbitrary and capricious and cannot serve to promote any kind of positive image for the Patent and Trademark Office.

It is appropriate to point out here that Director Godici recommended at the meeting referred to above that Applicant work with Supervisory Examiner Richard Hjerpe, SPE of art unit 2609 to provide updating and status information relevant to the several different applications pending in Group 2600 and elsewhere. Accordingly, updates were provided to Mr. Hjerpe. The communications between Mr. Hjerpe and Applicant included updating information such that it was known which applications had focused amendments and those which did not have such amendments. Examiner Hjerpe and Applicant agreed that focused amendments would be hand-delivered to the Group and applications containing the focused amendments would be acted upon. It was recognized that no useful purpose would be served by acting upon the applications which did not have focused amendments. Therefore, Applicant began to file the agreed upon focused amendments as indicated in the original petition. To say the least, Applicant was taken aback when Office actions were issued in the applications which are the subject of this Request for Reconsideration. Attached hereto as Appendix II is a fax communication

from Mr. Hjerpe dated December 14, 1995 listing the cases in his art unit to be acted upon. Appendices III, IV, and V are copies of telecopier listings from Applicant to Mr. Hjerpe listing applications having amendments which place the applications in better condition for examination. Appendix VI is a list of applications as requested by Mr. Hjerpe. This flow of information between Applicant and Mr. Hjerpe is a development extending from the meeting of October 24, 1995 and reflects a desire and intention to work harmoniously together to effectively prosecute the Applicant's applications.

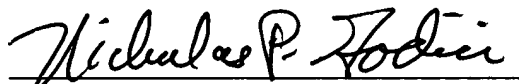
It is believed that one of the aims of the Customer Focus Policy of the Commissioner is to be of assistance to the public and to ensure fair and consistent treatment of all who are users of the system. The decision denying Applicant's petition stated that Applicant had no reason to expect an opportunity to file focused amendments. Even though there may have been some misunderstanding as to some of the comments made at the meeting with Director Godici, it is clear that Applicant and Mr. Hjerpe were of one accord insofar as making focused amendments available before action was taken. But for the guidance offered at the meetings with Director Godici and Mr. Hjerpe, Applicant would not have generated the original petition. All that is requested is a fair chance to have focused claims considered in each of the applications. What Applicant has met with, however, are Office Actions directed to unfocused claims which were generated without any informational communication to or with Applicant. This was done despite the fact that it was known that Applicant was continuing to generate focused amendments in many of the applications including those which are the subject of this Request for Reconsideration.

Second, since no error was made by the examiner in issuing the Office action of November 1995, and since petitioner waited until April 17, 1996 some five months after that action; to first petition for the withdrawal of that action, the final rejection June 27, 1996 is proper and will not be withdrawn. Petitioner filed a responsive amendment to the action of November 22, 1996 on April 29, 1996. The examiner promptly issued the final rejection. Petitioner has presented no reason to withdraw that action other than his request to withdraw the previous action.

Finally, petitioner request a refund of the two month extension of time fees filed with the response of April 29, 1996 since he states that the fees were paid to maintain pendency while "this matter was being resolved." The "matter" was not brought to the attention of the Office until April 17, 1996, approximately five months after the action was issued. The delay was solely applicant's. Applicant is required to bring to the attention of the Office an alleged error within 2 months of that action (37 CFR 1.181). He did not and therefore the need to file the extension was the fault of the applicant's and the fees will not be refunded.

In the future any request for supervisory review under 37 CFR 1.181 will not be considered unless filed within 2 months of action complained of.

Summary: DENIED



Nicholas P. Godici
Director, Group 2600
Communications, Measuring, Testing and Lamp
Discharge Group

Mr. Gilbert P. Hyatt
P.O. Box 81230
Las Vegas, NV 89180

A telephone call or other communication would have at least put Applicant on notice that examination would take place within some fixed period of time. No such notice or communication was afforded despite Applicant having traveled from Las Vegas, Nevada and having met with Director Godici regarding this (these) application(s) and other matters, as well as having met, at Director Godici's request, with Mr. Hjerpe.

Since it was known that amendments were being filed with focused claims, it would have been appropriate, by any standard, to notify Applicant that the applications were about to be acted upon. As explained in the original petition, Applicant filed 49 related applications including the 30 under consideration in this petition. Eighteen of those 49 had focused preliminary amendments filed and the remaining 31, including the 30 which are the subject of this petition, did not have focused amendments filed. Office actions were generated in the 30 applications which do not have focused amendments but as of the date of this Request for Reconsideration, some 12 of the 18 applications wherein focused preliminary amendments were filed, have not been acted upon. Again, this action is believed to be quite arbitrary and capricious.

✓ Applicant is asking for nothing more than any other patent applicant expects who files patent applications and expends significant filing fees. That is, a chance to have a complete examination of his applications containing claims to the invention. Here, the PTO was aware of the 30 applications referred to in this Request and their similarity as well as Applicant's intention to submit more focused claims by way of preliminary amendments. Despite this knowledge, it appears that all of the applications were gathered together and one Office Action prepared and printed out 29 times with very

minor differences. This is not, in Applicant's view, Customer Focus. Applicant discussed customer focus in quite some detail in Appendix IV, sections 2.2 and 2.3 of the original petition. Applicant calls attention to attached Appendix VII which reflects that Group 2300 not only notified Applicant of the applications pending in the Group but also, in a telephone communication on even date with the fax notification, indicated when the applications would be acted upon, and the time period when amendments could be filed. It is not unreasonable to expect similar treatment, particularly where the inconsistent actions come from Groups within the same electrical cluster.

RELIEF REQUESTED

The relief requested is as indicated in the original petition. That is, to withdraw each of the Office actions which preempted preliminary amendments in the 30 applications listed in Appendix I of the original petition and similarly in attached Appendix I and afford Applicant the opportunity to file focused amendments in the identified applications at a rate to be set by Director Godici or his designee.

Applicant also requests withdrawal of the final rejection which has issued subsequent to the original petition in 29 of the 30 applications which are the subject of this Request (the sole exception being Serial No. 08/465,173). Since this most recent rejection was mailed subsequent to the original petition, the request to withdraw its finality could not have been earlier presented. Accordingly, withdrawal of both the First Office Action and the Final Rejection are requested in this Request for Reconsideration.

Finally, Applicant requests a refund of all of the extension of time fees which have been paid to maintain pendency of all of the above identified applications while this matter is being resolved. The basis for this request is quite straight forward. It was error on the part of the Office, to issue the Office actions in the manner described above. This mistake on the part of the Office caused or prompted the action of maintaining the applications in a pending status (including the filing of a response) while the matter of deciding the issues in the petition were being pursued. Thus, Applicant expended \$190 in excess for a two month extension of time in each of the applications listed in Appendix I. Accordingly, a refund of the extension fee is requested in and for each of the applications. The refund should be credited to Deposit Account No. 08-3626.

For purposes of completing the record, the original petition with its attachments is incorporated herein by reference.

CERTIFICATION OF TRANSMISSION: I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No. (703) ³⁰⁵⁻³⁹⁹¹ ~~308-3401~~) on August 15, 1996. *UPH*

Respectfully submitted,

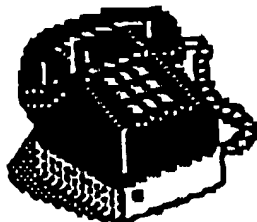
Dated: August 15, 1996

Gilbert P. Hyatt
Gilbert P. Hyatt
Registration No. 27,647
P.O. Box 81230
Las Vegas, NV 89180
Phone (702) 871-9899

APPENDIX-I

SUBJECT PATENT APPLICATIONS

DKT. NO.	SERIAL NO.
751	08/464,034
755	08/465,173
756	08/465,071
759	08/463,822
760	08/465,072
766	08/465,200
769	08/465,199
770	08/465,658
773	08/469,261
776	08/466,600
777	08/466,599
778	08/469,407
780	08/471,542
781	08/469,321
782	08/471,695
784	08/471,600
785	08/471,701
786	08/471,123
787	08/471,425
788	08/471,136
789	08/469,580
790	08/469,889
791	08/469,888
792	08/466,557
793	08/470,569
794	08/471,846
795	08/469,592
796	08/469,060
797	08/471,255
798	08/471,042

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeADDRESS: COMMISSIONER OF PATENTS AND TRADEMARK
Washington, D.C. 20231TELECOPY/FACSIMILE
TRANSMISSION
COVER SHEETDATE: 12/14/95TO: (NAME) GILBERT HYATT

(COMPANY OR FIRM) _____

(FAX No.) (702) 871-9397FROM: (NAME) RICHARD HODGE(VOICELINE No.) (703) 305-4709NUMBER OF PAGES 2 INCLUDING THIS PAGE.

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TELEFAX LOCATION: CRYSTAL PLAZA BLDG. 3/4 3056
- ☐ OTHER TELECOPIER NUMBER: _____
- ☐ OTHER VOICELINE NUMBER: _____
- ☐ PATENT EXAMINING GROUP 2600
TELEFAX MACHINE @ 703-308-5399
TELEFAX LOCATION: CRYSTAL PARK BLDG. 2-

HYATT.XLS

[illegible]

GIL HYATT
P.O. BOX 81230
LAS VEGAS, NV 89180-1230
PHONE: (702) 871-9899
FAX: (702) 871-9397

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DATE: October 30, 1995

AUG 15 1996

TO: SPE Richard Hjerpe FAX 703-308-5399

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 2.

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REMARKS:

The attachment lists the cases having the more substantial amendments that are in better condition for examination.

LIQUID CRYSTAL DEVICES

<u>DKT.</u>	<u>ART</u>	<u>SERIAL</u>
<u>NO.</u>	<u>UNIT</u>	<u>NO.</u>
370	2101	08/455,798
371	2609	08/455,924
372	2602	08/455,297
373	2602	08/455,303
374	2515	08/454,969
375	2609	08/445,457
376	2602	08/454,984
377	2502	08/456,261
378	2609	08/454,887
379		08/456,130
380	2602	08/455,320
381		08/455,309

GRAPHICS SYSTEMS

<u>DKT.</u>	<u>ART</u>	<u>SERIAL</u>
<u>NO.</u>	<u>UNIT</u>	<u>NO.</u>
450	2415	08/417,530
451	2412	08/423,081
452	2609	08/419,590
453	2613	08/419,681
454		08/420,942
455	2609	08/429,391
456	2415	08/430,089
457	2415	08/430,777
458	2415	08/432,249
460	2415	08/432,384
461	2415	08/432,878
462	2412	08/437,736
463	2316	08/431,638
465	2415	08/434,449
467	2415	08/435,938
482	2609	08/458,582

MEMORY/BUFFER SYSTEMS

<u>DKT.</u>	<u>ART</u>	<u>SERIAL</u>
<u>NO.</u>	<u>UNIT</u>	<u>NO.</u>
507	2317	08/471,707
508	2305	08/471,138
520	2316	08/470,082
522	2609	08/471,428
526	2309	08/472,019
530	2317	08/471,708
532	2317	08/471,710
533	2304	08/471,587
536	2609	08/469,058
539	2304	08/469,939
543	2316	08/466,164
547	2317	08/471,070

GIL HYATT
P.O. BOX 81230
LAS VEGAS, NV 89180-1230
PHONE: (702) 871-9899
FAX: (702) 871-9397

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DATE: November 20, 1995

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TO: SPE Richard Hjerpe FAX 703-308-5399

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REMARKS:

The attachment lists the new cases (since the last
correspondence) having the more substantial amendments that are
in better condition for examination.

FAULT TOLERANT SYSTEMS

<u>DKT.</u>	<u>SERIAL</u>
<u>NO.</u>	<u>NO.</u>
650	08/439,032
651	08/439,033
652	08/457,369
655	08/457,609
656	08/456,399
657	08/457,361
658	08/457,963
659	08/458,144
660	08/456,332
663	08/456,397
669	08/457,195
670	08/457,344
671	08/457,355
672	08/457,086
673	08/456,599
674	08/457,716
675	08/457,717
676	08/457,210
677	08/458,579
678	08/457,939

IMAGE PROCESSING SYSTEMS

<u>DKT.</u>	<u>SERIAL</u>
<u>NO.</u>	<u>NO.</u>
749	08/469,018
752	08/463,583
753	08/464,979
754	08/465,198
757	08/465,657
758	08/463,823
761	08/463,111
762	08/464,497
764	08/466,992
765	08/463,821
767	08/469,001
768	08/464,992
771	08/464,511
772	08/469,262
774	08/469,077
775	08/469,263
779	08/471,633
783	08/471,553
799	08/471,252

GIL HYATT
P.O. BOX 81230
LAS VEGAS, NV 89180-1230
PHONE: (702) 871-9899
FAX: (702) 871-9397

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DATE: November 22, 1995

TO: SPE Richard Hjerpe FAX 703-308-5399

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 2.

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The attachment lists the additional cases (since the last correspondence) having the more substantial amendments that are in better condition for examination. The graphic systems preliminary amendments were filed in September 1995.

GRAPHICS SYSTEMS

<u>DKT.</u>	<u>SERIAL</u>
<u>NO.</u>	<u>NO.</u>
442	08/464,995
459	08/435,924
468	08/435,907
469	08/436,853
470	08/436,699
471	08/438,598
472	08/460,550
473	08/459,599
474	08/460,800
475	08/460,768
476	08/463,791
477	08/460,697
478	08/459,505
479	08/459,244
480	08/459,220
481	08/460,590

FAULT TOLERANT SYSTEMS

<u>DKT.</u>	<u>SERIAL</u>
<u>NO.</u>	<u>NO.</u>
661	08/456,327
662	08/456,338
664	08/458,003
665	08/457,663
666	08/458,102
667	08/457,446
668	08/459,090

GIL HYATT
P.O. BOX 81230
LAS VEGAS, NV 89180-1230
PHONE: (702) 871-9899
FAX: (702) 871-9397

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DATE: October 30, 1995

TO: SPE Richard Hjerpe FAX 703-308-5399

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 14.

REMARKS:

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Transmitted herewith is the requested lists of applications.

ANALOG MEMORY SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
360	2511	08/429,272
361	2609	08/428,737
362	2511	08/434,139
363	2511	08/433,307
364	2511	08/435,502
365	2511	08/436,552
366	2511	08/469,061
367	2511	08/471,214
368	2511	08/471,596
369	2511	08/472,025

LIQUID CRYSTAL DEVICES

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
370	2101	08/455,798
371	2609	08/455,924
372	2602	08/455,297
373	2602	08/455,303
374	2515	08/454,969
375	2609	08/445,457
376	2602	08/454,984
377	2502	08/456,261
378	2609	08/454,887
379	-	08/456,130
380	2602	08/455,320
381	-	08/455,309
382	2609	08/456,138
383	2609	08/454,878
384	2609	08/454.875
385	2609	08/445,458
386	2602	08/454,810
387	2609	08/445,456
388	2609	08/456,128
389	2602	08/455,310
390	-	08/456,129
391	2502	08/454,886
392	2609	08/454,873
393	2609	08/454,874

FILTER/DISPLAY SYSTEMS

<u>DKT.</u>	<u>ART</u>	<u>SERIAL</u>
<u>NO.</u>	<u>UNIT</u>	<u>NO.</u>

410	2606	08/418,212
411	2606	08/418,211
412	2606	08/418,213
413	2606	08/417,532
414	2606	08/418,215
415	2606	08/419,585
416	2606	08/418,216
417	2606	08/419,682
418	2606	08/418,996
419	2606	08/420,170
420	2606	08/419,476
421	2606	08/423,073
422	2606	08/419,326
423	2606	08/419,586
424	2606	08/419,584
425	2606	08/420,470
426	2606	08/423,058
427	2606	08/423,235
428	2606	08/423,234
429	2606	08/423,390
430	2606	08/426,450
431	2606	08/426,754
432	2606	08/426,521
433		08/426,548
434	2606	08/426,361
435		08/426,554
436	2606	08/426,549
437	2606	08/428,359
438	2606	08/426,779
439	2606	08/427,547

GRAPHICS SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
400	2609	08/465,203
401	2609	08/469,002
402	2609	08/464,246
403	2609	08/465,152
404	2412	08/464,520
405	2609	08/464,032
406	2609	08/464,035
407	2609	08/465,923
408	2609	08/467,471
409	2308	08/465,176
440	2609	08/460,966
441	2609	08/463,118
442	2609	08/464,995
450	2415	08/417,530
451	2412	08/423,081
452	2609	08/419,590
453	2613	08/419,681
454		08/420,942
455	2609	08/429,391
456	2415	08/430,089
457	2415	08/430,777
458	2415	08/432,249
459	2609	08/435,924
460	2415	08/432,384
461	2415	08/432,878
462	2412	08/437,736
463	2316	08/431,638
464		08/435,513
465	2415	08/434,449
466		08/438,012
467	2415	08/435,938
468	2609	08/435,907
469	2609	08/436,853
470		08/436,699
471	2609	08/438,598
472	2609	08/460,550
473	2609	08/459,599
474	2609	08/460,800
475	2609	08/460,768
476	2609	08/463,791
477	2609	08/460,697
478	2609	08/459,505
479	2609	08/459,244

GRAPHICS SYSTEMS (CONTINUED)

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
480	2609	08/459,220
481	2609	08/460,590
482	2609	08/458,582
483	2609	08/459,877
484	2609	08/460,064
485	2609	08/459,508
486	2609	08/458,548
487	2609	08/458,197
488	2316	08/460,092
489	2609	08/461,572
490	2609	08/464,997
491	2412	08/464,521
492	2609	08/469,019
493	2609	08/464,980
494		08/462,306
495	2609	08/464,037
496	2609	08/464,996
497	2609	08/466,994
498	2609	08/464,085
499	2609	08/465,073

MEMORY/BUFFER SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
500	2609	08/435,894
501	2609	08/432,478
502	2609	08/435,901
503	2609	08/435,033
504	2609	08/470,079
505	2609	08/470,888
506	2609	08/471,714
507	2317	08/471,707
508	2305	08/471,138
509	2609	08/471,598
510	2609	08/479,088
511	2609	08/471,712
512	2609	08/471,700
513	2609	08/479,086
514	2609	08/471,704
515	2609	08/471,549
516	2609	08/471,702
517	2609	08/479,087
518	2609	08/471,925
519	2609	08/470,084
520	2316	08/470,082
521	2609	08/469,573
522	2609	08/471,428
523	2609	08/469,565
524	2609	08/472,062
525	2609	08/471,135
526	2309	08/472,019
527	2609	08/469,885
528	2609	08/471,543
529	2609	08/470,080
530	2317	08/471,708
531	2609	08/471,709
532	2317	08/471,710
533	2304	08/471,587
534	2609	08/471,845
535	2609	08/470,899
536	2609	08/469,058
537	2609	08/468,501
538	2609	08/466,953
539	2304	08/469,939
540	2609	08/470,367
541	2609	08/470,177
542	2609	08/469,098
543	2316	08/466,164
544	2609	08/471,069
545	2609	08/471,958
546	2609	08/483,016
547	2317	08/471,070
548	2609	08/470,882

COMPUTER SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
550	2305	08/471,931
551	2305	08/471,815
552	2306	08/469,559
553	2312	08/479,423
554	2305	08/470,879
555	2315	08/471,799
556	2315	08/472,409
557	2315	08/469,532
558	2315	08/470,671
559	2412	08/471,152
560	2317	08/471,713
561	2412	08/471,599
562	2306	08/470,900
563		08/470,897
564	2317	08/471,703
565	2315	08/471,547
566	2317	08/471,698
567	2305	08/470,075
568	2315	08/471,548
569	2317	08/471,699

FILTER/DISPLAY/MEMORY SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
600	2309	08/431,640
601	2309	08/431,639
602	2305	08/433,786
603	2309	08/436,855
604	2309	08/434,424
605	2309	08/436,852
606	2309	08/438,575
607	2309	08/436,854
608	2412	08/437,530
609	2315	08/464,114
610	2312	08/465,627
611	2315	08/464,986
612		08/465,659
613	2309	08/465,482
614	2309	08/462,919
615	2606	08/464,441
616	2312	08/465,291
617	2606	08/463,820
618	2315	08/464,983
619	2309	08/463,117
620	2606	08/464,084
621	2309	08/463,392
622	2312	08/462,727
623	2309	08/463,109
624	2309	08/463,110
625	2309	08/461,269
626	2309	08/462,333
627	2309	08/462,712
628	2305	08/464,510

FAULT TOLERANT SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
650		08/439,032
651	2413	08/439,033
652	2502	08/457,369
653		08/457,369
654		08/457,369
655	2602	08/457,609
656	2602	08/456,399
657		08/457,361
658	2615	08/457,963
659	2609	08/458,144
660	2413	08/456,332
661	2413	08/456,327
662	2413	08/456,338
663	2602	08/456,397
664	3406	08/458,003
665	2502	08/457,663
666	2615	08/458,102
667	2502	08/457,446
668	2615	08/459,090
669	2609	08/457,195
670	2502	08/457,344
671	2315	08/457,355
672	2602	08/457,086
673	3108	08/456,599
674	2315	08/457,716
675	2315	08/457,717
676	2413	08/457,210
677	2615	08/458,579
678	2615	08/457,939

IMAGE PROCESSING SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
700	2613	08/458,141
701	2613	08/456,333
702	2613	08/458,143
703	2613	08/458,142
704	2613	08/456,339
705	2613	08/457,360
706	2613	08/457,715
707	2613	08/457,726
708	2613	08/457,211
709	2613	08/457,728
710	2613	08/457,448
711	2613	08/457,208
712	2613	08/458,005
713	2613	08/458,004
714	2613	08/458,104
715	2613	08/456,901
716	2613	08/457,362
717	2613	08/456,398
718	2613	08/457,194
719	2613	08/457,197
720	2613	08/456,296
721	2613	08/456,592
722	2613	08/458,006
723	2613	08/457,196
724	2613	08/459,158
725	2613	08/460,607
726	2613	08/458,791
727	2613	08/459,152
728	2613	08/459,848
729	2613	08/460,737
730	2613	08/460,422
731	2613	08/460,705
732	2613	08/458,608
733	2613	08/460,433
734	2613	08/461,567
735	2613	08/459,221
736	2613	08/458,206
737	2613	08/460,612
738	2613	08/460,172
739	2613	08/458,549
740	2613	08/464,512
741	2613	08/464,999
742	2613	08/465,083
743	2613	08/461,288
744	2613	08/460,718
745	2613	08/460,753

IMAGE PROCESSING SYSTEMS (CONTINUED)

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
746	2613	08/459,648
747	2613	08/464,007
748	2613	08/464,998
749	2613	08/469,018
750	2613	08/463,824
751	2613	08/464,034
752	2613	08/463,583
753	2613	08/464,979
754	2613	08/465,198
755	2613	08/465,173
756	2613	08/465,071
757	2415	08/465,657
758	2613	08/463,823
759	2613	08/463,822
760	2613	08/465,072
761	2606	08/463,111
762	2613	08/464,497
763	2613	08/465,201
764	2317	08/466,992
765	2606	08/463,821
766	2613	08/465,200
767	2613	08/469,001
768	2613	08/464,992
769	2613	08/465,199
770	2412	08/465,658
771	2613	08/464,511
772	2302	08/469,262
773	2613	08/469,261
774	2613	08/469,077
775	1804	08/469,263
776	2613	08/466,600
777	2317	08/466,599
778	2613	08/469,407
779	2613	08/471,633
780	2613	08/471,542
781	2613	08/469,321
782	2317	08/471,695
783	2613	08/471,553
784	2613	08/471,600
785	2613	08/471,701
786	2613	08/471,123
787	2302	08/471,425
788	2613	08/471,136
789	2613	08/469,580

IMAGE PROCESSING SYSTEMS (CONTINUED)

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
790	2613	08/469,889
791	2613	08/469,888
792	2317	08/466,557
793	2613	08/470,569
794	2613	08/471,846
795	2613	08/469,592
796	2613	08/469,060
797	2613	08/471,255
798	2317	08/471,042
799	2613	08/471,252

DUTY CYCLE MODULATION

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
800	2502	08/454,902
801	2609	08/456,270
802	2502	08/454,901
803	2609	08/454,896
804	2609	08/454,889
805	2609	08/455,752
806	3406	08/455,164
807	2609	08/455,779
808	2615	08/455,750
809	3406	08/455,117
810	2609	08/501,981
811	2609	08/501,980
812	2609	08/501,979
813	2609	08/457,941
814	2609	08/501,978
815	2602	08/454,877
816	2502	08/454,879
817	2609	08/456,126
818	2502	08/455,738
819	2609	08/455,435
820	3406	08/455,356
821	2602	08/455,769
822	2502	08/455,505
823	2609	08/454,780
824	2502	08/454,884
825	2502	08/457,659
826	2609	08/455,648
827	2615	08/455,456
828	2602	08/455,202
829	2602	08/456,263

DIGITAL AUDIONIC SYSTEMS

<u>DKT.</u> <u>NO.</u>	<u>ART</u> <u>UNIT</u>	<u>SERIAL</u> <u>NO.</u>
850	3208	08/479,097
851	2306	08/472,074
852	2414	08/483,011
853		08/470,859
854	2308	08/479,019
855	2309	08/486,151
856	2302	08/472,041
857	2306	08/471,810
858	2414	08/470,666
859	2513	08/470,856
860	2302	08/472,031
861	2603	08/470,898
862	2308	08/469,528
863	2414	08/471,932
864	2414	08/470,665
865	2302	08/472,032
866	2516	08/471,795
867	2103	08/471,062
868	2608	08/471,434
869	2317	08/471,117

APPENDIX VII

GILBERT.XLS

Gilbert Hyatt		Group 2300 New cases		Date: 11/17/95	
Control/memory		speech	no amendment		
489532		489528	470888		
489559		471117	470879		
470076		471810	470898		
470871		472032	471082		
470900		472041	471074		
471138		478097	471152		
471547		488151	471703		
471548			471713		
471598			471713		
471698			471786		
471699			471931		
471789			471932		
471815			472031		
472409			478019		
479423			483011		

TELEPHONE SUMMARY OF COMMUNICATION WITH SPE THOMAS LEE

SPE Thomas Lee telephoned the Applicant on November 17, 1995. SPE Lee said that he had spoken with the Director of Group 230, Bobby Gray, and that they had decided the following. There were 40 applications that the Applicant had filed on June 6, 1995 that were in Group 230. These applications would be examined in the near future. The Applicant would be given a minimum of two weeks from November 11, 1995 to file preliminary amendments in these applications. The Applicant was requested to have his Washington associate hand deliver the preliminary amendments to circumvent delays through the PTO mail room.

The Applicant told SPE Lee that he intended to take advantage of this opportunity to file preliminary amendments in most of these 40 applications.

SPE Lee said that about half of the 40 applications had a preliminary amendment in the file wrapper. The Applicant told SPE Lee that first preliminary amendments had been filed in all of the 40 applications.